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Dated:

July 30, 2004

nature: Wall

Docket No.: SUPP-P01-007

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Graef et al.

Confirmation No.: 7564

Art Unit: N/A

Examiner: Not Yet Assigned

July 30, 2004

Application No.: 10/824939

Filed: April 15, 2004

For:

METHODS AND COMPOSITIONS FOR ENHANCING NEURON GROWTH AND

SURVIVAL

**INFORMATION DISCLOSURE STATEMENT (IDS)** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the U.S. Patent and Trademark Office ("USPTO") is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR § 1.97(b)(3)).

A copy of each reference listed on the attached PTO/SB/08 is enclosed, except for U.S. patents and patent applications, since the USPTO has waived this requirement for applications filed after June 2003.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent,

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Application No.: 10/824939 Docket No.: SUPP-P01-007

publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. SUPP-P01-007. A duplicate copy of this paper is enclosed.

Dated: July 30, 2004

Respectfully submitted,

Gloria Fuentes

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PTO/SB/08a/b (08-03)

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Complete if Known Substitute for form 1449A/B/PTO 10/824939 Application Number INFORMATION DISCLOSURE April 15, 2004 Filing Date Isabella Graef et al. STATEMENT BY APPLICANT First Named Inventor Art Unit (Use as many sheets as necessary) Examiner Name Not Yet Assigned SUPP-P01-007 of 4 Attorney Docket Number Sheet 1

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			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Γ.		
	BA	WO-93/04203A1	03/1993					
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Substitu	ute for form 1449A/E	B/PTO		Complete if Known		
Substitu	die for form 1440/40	<i>57</i> . 10		Application Number	10/824939	
INF	ORMATIC	ON DISC	CLOSURE	Filing Date	April 15, 2004	
ST	ATEMEN	T BY AP	PLICANT	First Named Inventor	Isabella Graef et al.	
•				Art Unit	N/A	
	(Use as many	sheets as ned	essary)	Examiner Name	Not Yet Assigned	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filling date under 35 U.S.C. 120. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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INF	ORMATI	ON DISC	CLOSURE	Filing Date	April 15, 2004	
			PLICANT	First Named Inventor	Isabella Graef et al.	
0.7				Art Unit	N/A	
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l IN	IFORMATION	ON DIS	SCLOSURE	Filing Date	April 15, 2004	
S	TATEMEN'	T BY A	PPLICANT	First Named Inventor	Isabella Graef et al.	
				Art Unit	N/A	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Application No. (if known): 10/824939

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